

S/N 09/871230



AP 2874  
15

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Broberg et al.	Examiner:	Unassigned
Serial No.:	09/871230	Group Art Unit:	2874
Filed:	May 31, 2001	Docket No.:	980-1083US01
Title:	APPARATUS AND METHOD FOR CONTROLLING THE OPERATING WAVELENGTH OF LASER DIODE		

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 28, 2002

Iain A. McIntyre  
Name

*Iain A. McIntyre*  
Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

- ☒ Information Disclosure Statement, Form 1449, copies of 25 references
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC  
6500 City West Parkway, Suite 100  
Minneapolis, MN 55344  
(952) 912-0523

Date: January 28, 2002

By:

*Iain A. McIntyre*  
Iain A. McIntyre  
(952) 912-0571  
Reg. No. 40,337

IAM/kah

S/N 09/871230



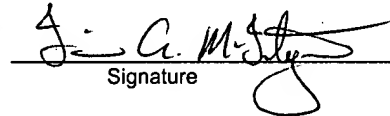
RECEIVED PATENT

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Iain A. McIntyre  
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Signature

**Information Disclosure Statement  
Under 37 C.F.R. §1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

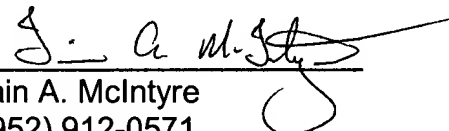
Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicants request that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

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Minneapolis, MN 55344  
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